

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: Han-gyoo Kim	
App. No.: 10/829,399	Con. No.: 5407
Filed: April 22, 2004	Art Unit: 2153
Title: SCALABLE SERVER ARCHITECTURE BASED ON ASYMMETRIC 3-WAY TCP	Examiner: Not Yet Assigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97(b)(3) and 1.98**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08a during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the requirements of 37 C.F.R. § 1.98(a)(2)(ii), only copies of the foreign references and non-patent literature documents are provided. Copies of the U.S. patent and U.S. patent application publication references are not provided, unless required by the Office.

The Japanese patent reference cited on the attached PTO/SB/08a was cited in a Japanese Office action dated January 9, 2007, in possibly related Japanese Patent Application No. 555298/2002 and also in a Japanese Office action dated February 6, 2007, in possibly related Japanese Patent Application No. 513300/2003. Copies of these Office actions are enclosed herewith.

The official translation of the Japanese Office action for Japanese Patent Application No. 555298/2002, provided to us by our foreign associate, misdates the action as being issued on

January 9, 2006. A copy of the official Office action showing the proper date of January 9, 2007 is also enclosed.

Also cited in the Japanese Office Action for Japanese Application No. 555298/2002 was the WO99/03297 reference.

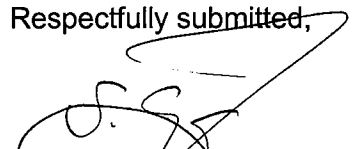
The following patent is not in the English language: JP11007404. An English translation of the abstract is attached to the patent, which is enclosed, to satisfy the requirement for a concise explanation of relevance.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office action. Therefore, pursuant to 37 C.F.R. 1.97(b)(3), no fees are due with respect to this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

If the Examiner has any questions, please contact the undersigned attorney.

Dated: 3 May 2007

Respectfully submitted,

  
\_\_\_\_\_  
S. Craig Hemenway, Registration No. 44,759  
Attorney for Applicant  
USPTO Customer No. 20686

DORSEY & WHITNEY LLP  
Republic Plaza Building, Suite 4700  
370 Seventeenth Street  
Denver, Colorado 80202-5647  
Phone: (303) 629-3400  
Fax: (303) 629-3450

PTO/SB/08A (08-03) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>	<b>APPLICATION NO.:</b> 10/829,399	<b>FILING DATE:</b> April 22, 2004
	<b>INVENTOR(S):</b> Han-gyoo Kim	<b>ART UNIT:</b> 2153
	<b>EXAMINER NAME:</b> Not Yet Assigned	<b>ATTY. DOCKET NO.:</b> 34255/US/2

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	PATENT NUMBER	ISSUE DATE	Name of Patentee of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
/LJ/	1.	6,760,783	07/2004	Berry	

### U.S. PUBLICATION DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	PUBLICATION NUMBER	PUBLICATION DATE	Name of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
	2.	2007/0008988	01/2007	Kim	

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	3.	JP11007404	01/1999	Tokyo Shibaura Electric Co.		X
	4.	WO99/03297	07/1999	Crossroads Systems, Inc.		

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	Include name of Author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), publisher, page(s) and date.	T <sup>6</sup>
	5.	Japanese Office Action, App. No. 555298/2002, January 9, 2007.	
	6.	Japanese Office Action, App. No. 513300/2003, February 6, 2007.	

<b>EXAMINER</b> /Lashonda Jacobs/	<b>DATE CONSIDERED</b> 07/01/2008
-----------------------------------	-----------------------------------

**EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kind Code of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 904.04. <sup>3</sup> Entry codes that precede the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.